

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Markus Lauff et al.

Title: METHOD AND COMPUTER SYSTEM FOR DOCUMENT AUTHORIZING

Docket No.: 2058.101US1

Serial No.: 10/583,184

Filed: June 16, 2006

Due Date: June 4, 2007

Examiner: Unknown

Group Art Unit: 1752

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Alexandria, VA 22313-1450

We are transmitting herewith the attached:

- ☒ A return postcard.
- ☒ Copy of Decision on Petition Under 37 C.F.R. 1.47(a) (4 pgs.)
- ☒ Renewed Petition Under Rule 1.47(a) (2 pgs.)
- ☒ Affidavit of Carol S. White (3 pgs.)
- ☒ Affidavit of Christine Chaux-Luedtke (9 pgs.)
- ☒ Exhibit A (1 pg.)
- ☒ Exhibit B (1 pg.)
- ☒ Exhibits C-1, 2 & 3 (3 pgs.)
- ☒ Exhibits D-1, 2 & 3 (3 pgs.)
- ☒ Exhibit E (1 pg.)
- ☒ Exhibit F (1 pg.)
- ☒ Exhibits G-1, 2, 3 & 4 (4 pgs.)
- ☒ Exhibits G-1, 2, 3 & 4 (4 pgs.)

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG & WOESSNER, P.A.

Customer Number: 50400

By: 

Name: Andre L. Marais

Reg. No. 48,095

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Carol S. White  
Name

Carol S. White  
Signature



UNITED STATES PATENT AND TRADEMARK OFFICE

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P.O. BOX 2938  
MINNEAPOLIS MN 55402

In re Application of:  
LAUFF, Markus, et al.  
U.S. Application No.: 10/583,184  
PCT No.: PCT/EP2004/053478  
International Filing Date: 15 December 2004  
Priority Date: 18 December 2003  
Atty's Docket No.: 2058.101US1  
For: METHOD AND COMPUTER  
SYSTEM FOR DOCUMENT  
AUTHORING

DECISION ON PETITION UNDER  
37 CFR 1.47(a)

This decision is issued in response to applicants' "Rule 1.47(a) Petition To File Application By Other Than All Inventors" filed 05 November 2007. Applicants have paid the required petition fee.

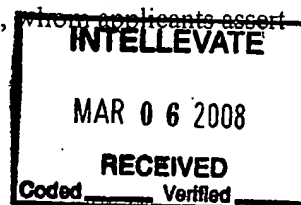
**BACKGROUND**

On 15 December 2004, applicants filed international application PCT/EP2004/053478. The international application claimed a priority date of 18 December 2003, and it designated the United States. On 30 June 2005, the International Bureau (IB) communicated a copy of the international application to the United States Patent and Trademark Office (USPTO). The deadline for submission of the basic national fee was thirty months from the priority date, i.e., 18 June 2006.

On 16 June 2006, applicants' filed a Transmittal Letter for entry into the national stage in the United States accompanied by, among other materials, payment of the basic national fee and a declaration executed by four of the eight inventors of record.

On 04 April 2007, the United States Designated/Elected Office (DO/EO/US) mailed a Notification Of Missing Requirement (Form PCT/DO/EO/905) indicating that a properly executed declaration in compliance with 37 CFR 1.497 was required.

On 05 November 2007, applicants filed a response to the Notification Of Missing Requirements (with required extension fee). The response included declarations executed by two of the previously non-signing inventors, as well as the petition under 37 CFR 1.47(a) considered herein. The petition seeks acceptance of the application without the signature of the remaining two inventors, Florent NICOULAND and Samuel RETHORE, whom applicants assert have refused to execute the application.



### DISCUSSION

A grantable petition under 37 CFR 1.47(a) must be accompanied by: (1) the fee under 37 CFR 1.17; (2) a statement of the last known address of the non-signing inventor; (3) an oath or declaration executed by the other inventors on behalf of themselves and the non-signing inventor; and (4) factual proof that the inventor refuses to execute the application or cannot be reached after diligent effort.

With respect to item (1), applicants have submitted the required petition fee. Item (1) is therefore satisfied.

With respect to item (2), the petition does not include an express statement of the last known addresses for the two non-signing inventors. Item (2) is therefore not satisfied.

Regarding item (3), section 409.03(a) of the Manual of Patent Examining Practice (MPEP) states that:

An oath or declaration signed by all the available joint inventors with the signature block of the nonsigning inventor(s) left blank may be treated as having been signed by all the joint inventors on behalf of the nonsigning inventor(s), unless otherwise indicated.

Here, applicants have filed declarations executed by six of the eight inventors of record, and these declarations each include unsigned signature blocks for the non-signing inventors, Florent NICOULAND and Samuel RETHORE. These declarations can be accepted as having been executed by the signing inventors on their own behalf and on behalf of the non-signing inventors. Item (3) is therefore satisfied.

Regarding item (4), MPEP section 409.03(d) states that, before it can be concluded that an inventor has refused to execute the application papers, "[a] copy of the application papers should be sent to the last known address of the nonsigning inventor, or, if the nonsigning inventor is represented by counsel, to the address of the nonsigning inventor's attorney." The MPEP also states the following:

Where a refusal of the inventor to sign the application papers is alleged, the circumstances of the presentation of the application papers and of the refusal must be specified in a statement of facts by the person who presented the inventor with the application papers and/or to whom the refusal was made. Statements by a party not present when an oral refusal is made will not be accepted.

Finally, the MPEP states:

When it is concluded by the 37 CFR 1.47 applicant that a nonsigning inventor's conduct constitutes a refusal, all facts upon which that conclusion is based should be stated in the statement of facts in support of the petition or directly in the petition. If there is documentary evidence to support facts alleged in the petition or in any statement of facts, such evidence should be submitted.

Here, as evidence of the inventors' refusal to execute the declaration, applicants have provided a statement from counsel, with accompanying exhibits. However, as discussed below, these materials do not provide an acceptable showing that the non-signing inventors have refused to execute the declaration.

The petition asserts that a copy of the complete application, with a request for signature, was forwarded to the non-signing inventors by email on 05 August 2006. A copy of the email is attached to the petition. However, applicants have not provided a firsthand statement from Nancy Cournoyer, the person who forwarded this email, as required to confirm the correspondence and enclosures. Moreover, a subsequent email by Ms. Cournoyer, dated 15 August 2006 (a copy of which is included with the present petition) states that the 05 August 2006 email correspondence was returned as undeliverable. It is noted that, as indicated in the MPEP, the complete application materials, accompanied by a request for signature, should be sent to the last known address of the non-signing inventors. The emailing of such requests and documents, even if these emails are not returned as undeliverable, is generally not considered sufficient to satisfy this requirement. Accordingly, the 05 August 2006 email, which apparently was never delivered to the non-signing inventors, does not support a conclusion that the non-signing inventors have refused to execute the declaration.<sup>1</sup>

The petition also refers to the mailing of a request for signature to the non-signing inventors by a representative of the assignee, Christine Chaux-Luedtke. A copy of an email from Ms. Chaux-Luedtke is included with the petition. The email refers to attempts to forward "by registered mail the documents to be executed" to the non-signing inventors, and states that the registered mail was delivered to inventor RETHORE, but not to inventor NICOULAND (copies of un-translated mail receipts are included with the petition). However, applicants have not provided a firsthand statement from Ms. Chaux-Luedtke to confirm and clarify the referenced actions (for example, it is not clear if the mailings included a copy of the complete application, or just the declaration and assignment documents, nor is it clear whether the address used for Mr. NICOULAND was current). Without the required firsthand statement and further supporting documents (i.e., copies of cover letters and receipt confirmations, with English translations), the registered mailings referred to in the petition do not support a determination that the inventors have refused to execute the application.

Based on the above, item (4) of a grantable petition is not satisfied on the present record. Applicants must provide adequate supplemental materials that satisfy the requirements of the MPEP and include firsthand statements (with documentary support) confirming that a request for signature, accompanied by a copy of the complete application, has been sent to the non-signing inventors at their last known addresses, and that the inventors have refused to provide the requested signatures in response to such requests.

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<sup>1</sup> The petition also refers to a subsequent attempt to reach the inventors by email, this time by Dennis Wong on 17 April 2007. As with the 05 August 2006 emails, applicants have not provided a firsthand statement to confirm the details of this correspondence (for example, were these emails returned as undeliverable, like the 05 August 2006 emails?). In addition, it does not appear that a copy of the complete application was attached to these emails.

CONCLUSION

Applicants' petition under 37 CFR 1.47(a) is **DISMISSED** without prejudice.

If reconsideration on the merits of the petition is desired, a proper response must be filed within **TWO (2) MONTHS** of the mail date of the present decision. Any request for reconsideration should include a cover letter entitled "Renewed Petition Under 37 CFR 1.47(a)" and must include the additional materials required to satisfy items (2) and (4) of a grantable petition, as discussed above and in the MPEP. No additional petition fee is required.

Failure to file a proper response will result in abandonment of the application. Extensions of time are available under 37 CFR 1.136(a)

Please direct further correspondence with respect to this matter to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, with the contents of the letter marked to the attention of the Office of PCT Legal Administration.



Richard M. Ross  
Attorney Advisor  
Office of PCT Legal Administration  
Telephone: (571) 272-3296  
Facsimile: (571) 273-0459

**S/N 10/583,184**

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	Markus Lauff et al.	Examiner:	Unknown
Serial No.:	10/583,184	Group Art Unit:	1752
Filed:	June 16, 2006	Docket:	2058.101US1
Title:	METHOD AND COMPUTER SYSTEM FOR DOCUMENT AUTHORING		

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**RENEWED PETITION UNDER RULE 1.47(a)**

Mail Stop PCT  
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In response to the Decision mailed March 3, 2008 in response to Applicants Petition Under 37 C.F.R. § 1.47(a) and 35 U.S.C. § 116, second paragraph, filed on November 2, 2007, Applicants submit this Renewed Petition seeking permission to file the above-captioned application (hereinafter "the application") without the signatures of Florent Nicoulaud, and Samuel Rethore, two of the eight joint inventors who are refusing to sign the application.

As stated in the Decision, a grantable petition under 37 CFR 1.47 (a) must be accompanied by:

- (1) The fee under 37 CFR 1.17 (which has been satisfied) in the previous Petition;
- (2) An express statement of the last known addresses for the two non-signing inventors.

The last known address, and believed to be current, for Florent Nicoulaud is: Avenue du Docteur Fabre, Juan-Les-Pins 06160 France. The last known address, and believed to be current, for Samuel Rethore is: 3, rue Henri Barbara, Valbonne 06560 France. Item (2) should now be satisfied;

- (3) Section 409.03(a) regarding oath or declaration has been satisfied; and

(4) Section 409.03(d) is believed to be satisfied now with the enclosed two affidavits of Carol White, an employee of Schwegman, Lundberg & Woessner, P.A. and Christine Chaux-Luedtke, an employee of SAP, the assignee. Both affidavits should satisfy this requirement as both are firsthand statements with documentary support and supplemental materials to more than confirm and satisfy the requirements of MPEP section 409.03(d).

The undersigned, Andre L. Marais, is a patent attorney employed by the firm of Schwegman, Lundberg & Woessner P.A., and represents SAP AG, the assignee and owner of the

application.

Conclusion

The Examiner is invited to telephone Applicants' attorney at (408) 278-4042 to facilitate prosecution of this application.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

MARKUS LAUFF ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG & WOESSNER, P.A.

P.O. Box 2938

Minneapolis, MN 55402

408-278-4042

Date 05/05/2008

By

  
Andre L Marais

Reg. No. 48,095

**CERTIFICATE UNDER 37 CFR 1.8:** The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 5 day of May 2008.

Carol S. White

Name

Carol S. White

Signature

S/N 10/583,184

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Markus Lauff et al.	Examiner:	Unknown
Serial No.:	10/583,184	Group Art Unit:	1752
Filed:	June 16, 2006	Docket:	2058.101US1
Title:	METHOD AND COMPUTER SYSTEM FOR DOCUMENT AUTHORIZING		

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**AFFIDAVIT OF CAROL S. WHITE**

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I, Carol S. White, state as follows:

1. I am a Case Management Assistant with the firm of Schwegman, Lundberg & Woessner, P.A., P. O. Box 2938, Minneapolis, Minnesota 55402.
2. The law firm of Schwegman, Lundberg & Woessner, P.A. represents SAP AG, an assignee and an owner of the above-identified application.
3. Information from our client, SAP AG, indicated that co-inventors Florent Nicoulaud and Samuel Rethore are no longer employees of SAP AG. To my knowledge no forwarding addresses are available.
4. I have corresponded with Christine Chaux-Luedtke, the SAP Paralegal on many occasions via email and telephone regarding this application and in our task to reach all the inventors to have them sign the application.
5. As part of regular office procedures when formal documents are not filed with a patent application, a Combined Declaration/Power of Attorney and Assignment were prepared upon receipt of a serial number from the U.S. Patent and Trademark Office, and sent to all inventors for signature and return, along with a complete copy of the filed application. On March 18, 2008, two packages were prepared and sent to



Mr. Nicoulaud and Mr. Rethore via the U.S. Postal Service Express Mail, including letters signed by me. Both of these packages came back to me and were determined to be an unlocatable address by the U.S. Postal Express Mail Service. The March 18, 2008 letters are attached hereto as Exhibits A and B, and they reflect true and accurate copies of the letters. Copies of the returned packages and the Tracking information from the USPS website are attached hereto as Exhibits C-1, 2 & 3 and D-1, 2 & 3, and they reflect true and accurate copies of each.

On March 19, 2008, two additional packages were prepared and sent to Mr. Nicoulaud and Mr. Rethore via FedEx Express, each package included a Combined Declaration/Power of Attorney and Assignment, a complete copy of the Application and letters signed by me. Both of these packages came back to me and were determined to be an unlocatable address by the FedEx Express Service. The March 19, 2008 letters are attached hereto as Exhibits E and F, and they reflect true and accurate copies of the letters. Copies of the returned packages and the Tracking information from the USPS website are attached hereto as Exhibits G-1, 2, 3 & 4 and H-1, 2, 3 & 4, and they reflect true and accurate copies of each.

6. I have not received the signed Declaration/Power of Attorney or the Assignment documents for Mr. Nicoulaud or Mr. Rethore nor have I received any communications from them regarding the documents.

FURTHER YOUR AFFIANT SAITH NOT.

5-5-08

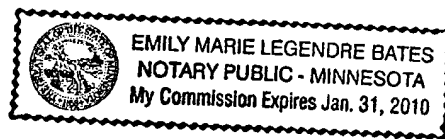
Carol S. White

Date

Carol S. White

Signed and sworn to before me on this 5 day of May, 2008.

Notary Public



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner of Patents, Washington, D.C. 20231 on May 5, 2008.

Emily Bates

Name Emily Bates

Signature

SCHWEGMAN ■ LUNDBERG ■ WOESSNER  
Intellectual Property Attorneys

PATENT PROTECTION FOR HIGH TECHNOLOGY

March 18, 2008

SENT REGISTERED AIRMAIL

Florent Nicolaud  
Avenue du Docteur Fabre  
Juan Les Pins 06160  
France

EXHIBIT: A

URGENT ACTION NEEDED: Signature on Formal Documents (enclosed)

Re: **SAP AG Ref. No. 2003P00960WOUS**

Our Ref. No. 2058.101US1

U.S. Patent Application Serial Number: 10/583,184

Title: METHOD AND COMPUTER SYSTEM FOR DOCUMENT AUTHORING

Dear Mr. Nicolaud:

Enclosed is a Combined Declaration and Power of Attorney document that must be executed to complete the filing requirements for the above-referenced patent application. Please review the enclosed copy of the application as filed and then sign and date the document where indicated.

We have also enclosed an Assignment document to be executed. Please note that this Assignment document must be signed and dated in the presence of two Witnesses.

If the inventor information is incorrect on either of the above-mentioned documents, please manually correct the errors, initialing and dating all changes. The Patent Office will not accept the documents if the changes are not initialed and dated.

Please return the original set of executed documents to my attention as soon as possible. The last due date for filing these documents with the Patent Office is May 3, 2008. We have sent you these documents several times, but have not received any response. If the documents are not submitted by this date, the Application will be abandoned.

Andre L. Marais is designated as the Schwegman Quality Assurance Officer for this matter. If you have any questions regarding this matter or the service you have been provided please feel free to contact him at 408-278-4042.

Very truly yours,



Carol S. White  
Case Management Assistant

Enclosures

A Professional Association  
1600 TCF Tower, 121 South Eighth Street, Minneapolis, Minnesota 55402  
Telephone: (612) 373-6900 Fax: (612) 339-3061 Web site: [www.slwip.com](http://www.slwip.com)

CA Office: 150 Almaden Boulevard, Suite 901, San Jose, California 95113 408-278-4040 Fax: 408-993-0832  
TX Office: 8911 Capital of Texas Highway, Suite 4150, Austin, Texas 78759 512-628-9320 Fax: 512-628-9326



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- Out of Foreign Customs, March 20, 2008, 5:10 pm, FRANCE
- Arrived Abroad, March 20, 2008, 3:33 pm, FRANCE
- International Dispatch, March 19, 2008, 10:53 am, O'HARE APT/CHICAGO
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March 18, 2008

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Samuel Rethore  
3 Rue Henri Barbara  
Valbonne 06560  
France

EXHIBIT: B

URGENT ACTION NEEDED: Signature on Formal Documents (enclosed)

Re: **SAP AG Ref. No. 2003P00960WOUS**  
Our Ref. No. 2058.101US1  
U.S. Patent Application Serial Number: 10/583,184

Title: METHOD AND COMPUTER SYSTEM FOR DOCUMENT AUTHORING

Dear Mr. Rethore:

Enclosed is a Combined Declaration and Power of Attorney document that must be executed to complete the filing requirements for the above-referenced patent application. Please review the enclosed copy of the application as filed and then sign and date the document where indicated.

We have also enclosed an Assignment document to be executed. Please note that this Assignment document must be signed and dated in the presence of two Witnesses.

If the inventor information is incorrect on either of the above-mentioned documents, please manually correct the errors, initialing and dating all changes. The Patent Office will not accept the documents if the changes are not initialed and dated.

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Very truly yours,



Carol S. White  
Case Management Assistant

Enclosures

A Professional Association  
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- Attempted Delivery Abroad, March 21, 2008, 10:58 am, FRANCE
- At Foreign Delivery Unit, March 21, 2008, 5:59 am, FRANCE
- Out of Foreign Customs, March 20, 2008, 5:10 pm, FRANCE
- Arrived Abroad, March 20, 2008, 3:33 pm, FRANCE
- International Dispatch, March 19, 2008, 10:53 am, O'HARE APT/CHICAGO
- Processed, March 18, 2008, 7:28 pm, SAINT PAUL, MN 55111
- Acceptance, March 18, 2008, 3:33 pm, MINNEAPOLIS, MN 55402

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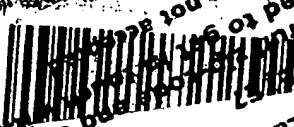




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EXHIBIT D-3

SCHWEGMAN ■ LUNDBERG ■ WOESSNER  
Intellectual Property Attorneys

PATENT PROTECTION FOR HIGH TECHNOLOGY

March 19, 2008

SENT VIA FEDEX

Florent Nicolaud  
Avenue du Docteur Fabre  
Juan Les Pins 06160  
France

EXHIBIT: E

URGENT ACTION NEEDED: Signature on Formal Documents (enclosed)

Re: **SAP AG Ref. No. 2003P00960WOUS**

Our Ref. No. 2058.101US1

U.S. Patent Application Serial Number: 10/583,184

Title: METHOD AND COMPUTER SYSTEM FOR DOCUMENT AUTHORING

Dear Mr. Nicolaud:

Enclosed is a Combined Declaration and Power of Attorney document that must be executed to complete the filing requirements for the above-referenced patent application. Please review the enclosed copy of the application as filed and then sign and date the document where indicated.

We have also enclosed an Assignment document to be executed. Please note that this Assignment document must be signed and dated in the presence of two Witnesses.

If the inventor information is incorrect on either of the above-mentioned documents, please manually correct the errors, initialing and dating all changes. The Patent Office will not accept the documents if the changes are not initialed and dated.

Please return the original set of executed documents to my attention as soon as possible. The last due date for filing these documents with the Patent Office is May 3, 2008. We have sent you these documents several times, but have not received any response. If the documents are not submitted by this date, the Application will be abandoned.

Andre L. Marais is designated as the Schwegman Quality Assurance Officer for this matter. If you have any questions regarding this matter or the service you have been provided please feel free to contact him at 408-278-4042.

Very truly yours,



Carol S. White  
Case Management Assistant

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 SCHWEGMAN, LUNDBERG & WOESSNER  
 121 S. 8TH STREET  
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 MINNEAPOLIS, MN 55402  
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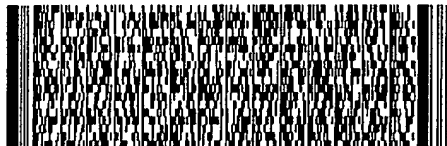
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 DESC-1: Correspondence/No Customs Value  
 DESC-2:  
 DESC-3:  
 DESC-4:  
 EEI: NO EEI 30.37(a)  
 COUNTRY MFG: US  
 CARRIAGE VALUE: .00 USD  
 CUSTOMS VALUE: USD  
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 SIGN: Terry Hathaway  
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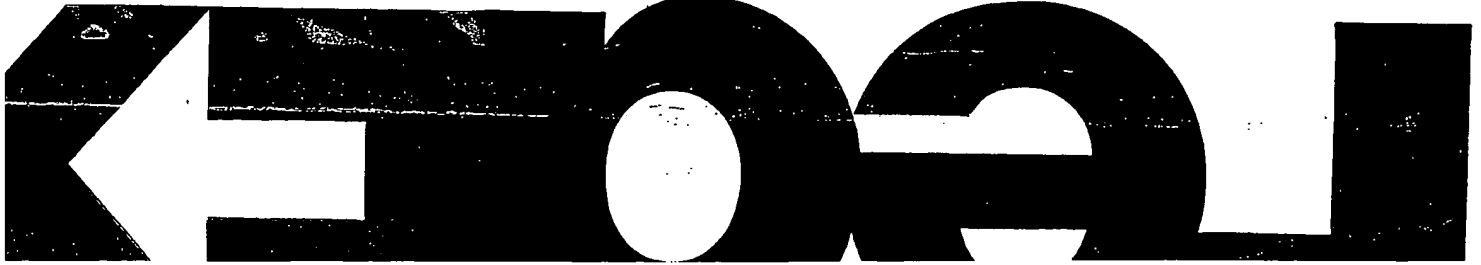
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**Ship date** Mar 19, 2008**Reference** 2058.101US1 /  
White  
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**Weight** 1.0 lbs.**Status** Delivery exception

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	11:42 AM At local FedEx facility	SOPHIA ANTIPOLIS FR	
<b>Mar 26, 2008</b>	8:45 PM At local FedEx facility	SOPHIA ANTIPOLIS FR	
	10:39 AM Delivery exception	SOPHIA ANTIPOLIS FR	Incorrect address
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<b>SHIP TO:</b> 612-373-6900 <b>BILL SENDER</b> Florent Nicolaud Avenue du Docteur Fabre Juan Les Pins, 06160 FR		<b>REF:</b> 2058.101US1 / White <b>DESC-1:</b> Correspondence/No Customs Value <b>DESC-2:</b> <b>DESC-3:</b> <b>DESC-4:</b> <b>EEL:</b> NO EEL 30.37(a) <b>COUNTRY MFG:</b> US <b>CARRIAGE VALUE:</b> .00 USD <b>CUSTOMS VALUE:</b> USD <b>TIC:</b> S 171791774 <b>D/T:</b> S 171791774 <b>SIGN:</b> Terry Hathaway <b>ENVAI:</b>	
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PATENT PROTECTION FOR HIGH TECHNOLOGY

March 19, 2008

SENT VIA FEDEX

Samuel Rethore  
3 Rue Henri Barbara  
Valbonne 06560  
France

EXHIBIT: F

URGENT ACTION NEEDED: Signature on Formal Documents (enclosed)

Re: **SAP AG Ref. No. 2003P00960WOUS**

Our Ref. No. 2058.101US1

U.S. Patent Application Serial Number: 10/583,184

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Case Management Assistant

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From: Origin ID: MICA (612) 373-6900  
 Terry Hathaway  
 SCHWEGMAN, LUNDBERG & WOESSNER  
 121 S. 8TH STREET  
 TCF TOWER, SUITE 1600  
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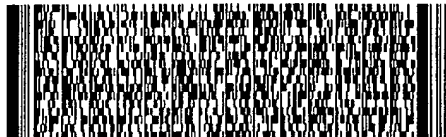
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SCHWEGMAN, LINDBERG & WOESSNER  
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MINNEAPOLIS, MN 55402  
UNITED STATES



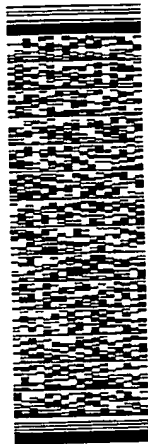
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Samuel Rethore

3 Rue Henri Barbara

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EET: NO EEI 30.37(a)

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CDG

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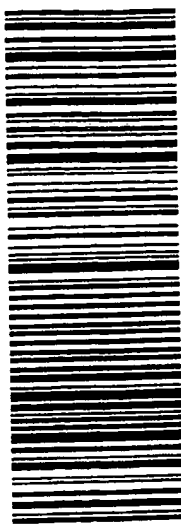


EXHIBIT: H-3

**FedEx**<sup>®</sup>  
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this envelope: RIE HENRI BARBARA  
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EXHIBIT: H-4

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## Track Shipments/FedEx Kinko's Orders Detailed Results

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**Tracking number** 790473098025  
**Ship date** Mar 19, 2008

**Reference** 2058.101US1  
**Destination** VALBONNE FR  
**Service type** Priority Envelope  
**Weight** 1.1 lbs.

**Status** Delivery exception

Date/Time	Activity	Location	Details
<b>Mar 31, 2008</b>	5:13 PM <b>Package returned to shipper</b>	SOPHIA ANTIPOLIS FR	Return tracking number: <a href="#">9330261C</a>
	10:00 AM At local FedEx facility	SOPHIA ANTIPOLIS FR	
<b>Mar 28, 2008</b>	11:57 AM At local FedEx facility	SOPHIA ANTIPOLIS FR	
<b>Mar 27, 2008</b>	11:42 AM At local FedEx facility	SOPHIA ANTIPOLIS FR	
<b>Mar 26, 2008</b>	8:45 PM At local FedEx facility	SOPHIA ANTIPOLIS FR	
	10:39 AM Delivery exception	SOPHIA ANTIPOLIS FR	Incorrect address
	9:02 AM On FedEx vehicle for delivery	SOPHIA ANTIPOLIS FR	
<b>Mar 25, 2008</b>	8:49 PM At local FedEx facility	SOPHIA ANTIPOLIS FR	
	11:36 AM Delivery exception	SOPHIA ANTIPOLIS FR	Incorrect address - Apartment/Suite
	8:45 AM On FedEx vehicle for delivery	SOPHIA ANTIPOLIS FR	
<b>Mar 21, 2008</b>	8:54 PM At local FedEx facility	SOPHIA ANTIPOLIS FR	
	11:49 AM Delivery exception	SOPHIA ANTIPOLIS FR	Incorrect address
	8:49 AM On FedEx vehicle for delivery	SOPHIA ANTIPOLIS FR	
	8:15 AM At local FedEx facility	SOPHIA ANTIPOLIS FR	
<b>Mar 20, 2008</b>	10:07 PM Departed FedEx location	PARIS FR	
	6:30 PM Arrived at FedEx location	PARIS FR	
	6:30 PM Int'l shipment release	PARIS FR	
	5:11 AM Departed FedEx location	INDIANAPOLIS, IN	
	1:32 AM Departed FedEx location	INDIANAPOLIS, IN	
	12:35 AM Arrived at FedEx location	INDIANAPOLIS, IN	
<b>Mar 19, 2008</b>	9:56 PM Left origin	MINNEAPOLIS, MN	
	4:25 PM Picked up	MINNEAPOLIS, MN	
	1:46 PM Package data transmitted to FedEx		

S/N 10/583,184

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Markus Lauff et al.	Examiner:	Unknown
Serial No.:	10/583,184	Group Art Unit:	1752
Filed:	June 16, 2006	Docket:	2058.101US1
Title:	METHOD AND COMPUTER SYSTEM FOR DOCUMENT AUTHORIZING		

DECLARATION/AFFIDAVIT OF CHRISTINE CHAUX-LUEDTKE, EMPLOYEE OF  
SAP AG, ASSIGNEE OF ABOVE APPLICATION

Dear Sirs:

1. My name is Christine Chaux-Luedtke and I am an employee of SAP AG, the assignee. I hereby swear that I attempted to contact Florent Nicoulaud and Samuel Rethore at their last known addresses by registered mail on June 7, 2006 (enclosed are copies of registered receipts to both addresses, one of which has been signed as received by Mr. Rethore and one, stamped by the French Post, certifying that Mr. Nicoulaud has been notified that a document has been sent to him but that the inventor has not been to the post office to fetch the document. The same documents have been sent again to Mr. Nicoulaud as registred mail on July 4, 2006 with the same negative result. Their last known addresses which I believe to be current are as follows:

Florent Nicoulaud

20 Avenue du Docteur Fabre

06160 Juan-Les-Pins, France

Samuel Rethore

3, rue Henri Barbara

06560 Valbonne, France

2. I also swear that enclosed in the registered mailing to both Mr. Nicoulaud and Mr. Rethore was a complete application (specification, drawings and Combined Declaration/Power of Attorney) with request for signatures from both parties.

Signed: Christine Chaux-Luedtke Dated: May 2, 2008

Christine Chaux-Luedtke

Paralegal for SAP AG

Deutsche Post AG

Entreprise des Postes allemandes S. A.

Rückschein / Auszahlungsschein / Gutschriftsanzeige

Avis de réception / Avis de paiement / Avis d'inscription

Advice of delivery

CN 07

Einlieferungsfiliale / Bureau de dépôt	Datum / Date
--	--------------

Empfänger der Sendung / Destinataire de l'envoi Samuel RETHORE 3 Rue Barbara 06360 Valbonne / FR
---

RG 61 535 474 3DE

Art der Sendung / Nature de l'envoi

<input checked="" type="checkbox"/> Brief / Vorkant Lettre / Prioritaire	<input type="checkbox"/> Paket Colis
---	---

<input checked="" type="checkbox"/> Einschreiben Recommandé	<input type="checkbox"/> Wertangabe Valeur déclarée
--	--

Einlieferungs-Nr. der Sendung / N° de l'envoi	Betrag / Montant
---	------------------

Gewöhnliche Anweisung / Mandat ordinaire	Betrag / Montant
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Zahlkarte / de versement	Auslieferungsnachweis / Chèque d'assignation
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Am Bestimmungsort auszufüllen / A compléter à destination

O. g. Sendung/Betrag wurde ordnungsgemäß / L'envoi mentionné ci-dessus a été dûment		
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<input type="checkbox"/> ausgehändigt remis	<input type="checkbox"/> ausgezahlt payé	<input type="checkbox"/> dem Postbankkonto gutgeschrieben inscrit en CCP
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Datum und Unterschrift* / Date et signature*
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\* Dieser/Anzeige kann vom Empfänger oder wenn die Vorschriften des Bestimmungslandes dies vorsehen, von einem Beauftragten oder vom Mitarbeiter des Bestimmungsamtes unterschrieben werden.  
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Postsache  
Service des postes

Stempel des Postamts,  
das den Schein zurücksendet,  
Timbre du bureau  
renvoyant l'avis



Luftpost

Prioritaire Par avion

Zurücksenden an / Renvoyer à / Return to

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---

SAP AG / Patent

Telefon: 0 62 27/7-64412

Fax-Nr.: 0 62 27/7-64443

69189 Walldorf (Varenschmitt)

Dietmar-Hopp-Allee 16, D-69189 Walldorf (Büroanschrift)

3.87

915-005-000

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Entreprise des Postes allemandes S. A.

Rückschein / Auszahlungsschein / Gutschriftsanzeige  
Avis de réception / Avis de paiement / Avis d'inscription  
ON 07

Einlieferungsfiliale / Bureau de dépôt Datum / Date Advice of delivery

Empfänger der Sendung / Destinataire de l'envoi  
SAP AG  
3 Rue Barbara  
69139 Walldorf / FR RG 61 535 474 3DE

Postsache  
Service des postes  
Stempel des Postamts,  
aus dem Schein geschneitten,  
Trennung der Post  
anweisung folgt

Art der Sendung / Nature de l'envoi

☒ Brief / Lettre ☐ Paket / Colis ☐ Wertgegenstand / Valeur déclarée  
☒ Einschreiben / Enregistré ☐ Wertgegenstand / Valeur déclarée  
Einschreibungs-Nr. der Sendung / N° de l'envoi Betrag / Montant

Luftpost  
Prioritaire Par avion

Zurücksenden an / Renvoyer à / Return to  
Name oder Firma / Nom ou raison sociale

Am Bestimmungsort auszuliefern / A compiler à destination  
D. g. Sendung/Rückung wurde ordnungsgemäß / L'envoi renvoyé et remis à l'heure  
ausgehender Post / départ des Posten  
ausgehender Post / départ des Posten  
Datum und Unterschrift / Date et signature

SAP AG / Récent  
Telefon: 062 27 7-64412  
Fax-Nr.: 062 27 7-64433  
69139 Walldorf (Versandanschrift)  
Dietmar-Hopp-Allee 16, D-69190 Walldorf (Geschäftsanschrift)

French Post Stamp.  
dated  
June 7, 2006

signature of the recipient

1 A (ENGLISH TRANSLATION)

04/09/2006 14:08

+49-6227-7-64433

SAP AG WALLDORF

S. 01/03

**LUFTPOST**  
**PAR AVION**  
**PRIORITAIRE**  
Port pays  
Allemagne

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*Softne*

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Registered Mail

☒ Einschreiben  
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Card Payment

☒ Einschreiben  
Kartenzahlung  
Registered Mail  
Card Payment

RG 61 606 606 606

100%

*Patel*

~~Monsieur  
Florent N. Docteur Fabre  
20, Avenue  
06160 Juvigny-les-Pins  
France~~



SAP AG  
Tel: 062277-64412  
Fax: 062277-84433  
Dachstein-Waldorfer  
D-68716 Waldorf

- AR-Rückschreiben / Avis de réception / Advice of delivery -

- AR-Rückschreiben / Avis de réception / Advice of delivery -



24

24 (ENGLISH TRANSLATION)

sent on  
June 7, 2006

LUTIPPOST  
PAR AVION  
PRIORITAIRE

Post 12574  
0-883091 (Postcode)  
ALLEMAGNE

Absent advise  
Sof far

Address was not at her  
but has been informed the  
a letter is waiting for him  
the french post

ALLEMAGNE

Document has not been  
Document will be sent back to  
sender

Deutsche Post

☐ EINSCHREIBEN  
EINWURF

☒ EINSCHREIBEN  
(Recommandé)

☐ RT. NACHNAME  
(Remboursent)

☐ EIGENHANDIG  
(A emettre en  
main propre)

☒ RÜCKSCHEIN  
(Avis de réception)

RG 61 535 559 SDE

891241000

R

Post

Monsieur  
Florent Napoléon  
20, Avenue du Docteur Fabre  
06160 Juan-les-Pins  
France

- AR-Rückschein / Avis de réception / Advice of delivery -

- AR-Rückschein / Avis de réception / Advice of delivery -



SAV

Deutsche Post AG  
Entreprise des Postes allemandes S. A. Avis de réception / Advice of delivery  
Enlieferrungsfiliale / Bureau de dépôt Datum / Date

Empfänger der Sendung / Destinataire de l'envoi  
Florian Nicoulaud  
20, Avenue du Docteur Foort  
06160 Juan-les-Pins  
Ait der Sendung / Nature de l'envoi

<input checked="" type="checkbox"/> Brief / Lettre	<input type="checkbox"/> Paket / Colis
<input checked="" type="checkbox"/> Einschreiben / Recommandé	<input type="checkbox"/> Wertungabe / Valeur déclarée
<input checked="" type="checkbox"/> Entwertung-Nr. der Sendung / Numéro d'entwertung	<input type="checkbox"/> Betrag / Montant
<input type="checkbox"/> Gewöhnliche Anweisung / Mandat ordinaire	<input type="checkbox"/> Betrag / Montant
<input type="checkbox"/> Zahlungs- / Auslieferungsnachweis / Cheque d'expédition	<input type="checkbox"/> Betrag / Montant

Am Bestimmungsort auszufüllen / A compléter à destination  
O. g. Sendung/Betrag wurde ordnungsgemäß / L'envoi mentionné ci-dessus a été dûment  
ausgehändigt / remis dem Postbankkonto / remis au compte de la poste  
Datum und Unterschrift / Date et signature

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Poste  
Service des postes  
RG 61 535 473 ODE

Luftpost  
Prioritaire Par avion

Zurücksenden an / Renvoyer à / Return to  
Name oder Firma / Nom ou raison sociale

SAP AG / Rekt  
Telefon: 062 27 7-64412  
Fax-Nr.: 062 27 7-64413  
80120 Velbert (Vorderer Reichswald)  
Dietmar-Hopp-Allee 16, D-49160 Münster (Büro)

Deutsche Post

<input type="checkbox"/> EINSCHREIBEN EINWURF	<input checked="" type="checkbox"/> EINSCHREIBEN (Recommandé)	<input type="checkbox"/> EIGENHÄNDIG LA remettre en main propre	<input checked="" type="checkbox"/> RÜCKSCHEIN (Avis de réception)
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registered mail

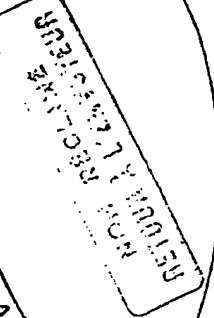
French Post Stamp  
dated July 4, 2006



SAP  
14. Juli 21  
Intellectual Property Department

LUFTPOST  
PAR AVION  
PRIORITAIRE  
Port payé  
D-88309 Nüchtersheim  
ALLEMAGNE

Honorable  
Florian Nicoulaud  
20, Avenue du Docteur Foort  
06160 Juan-les-Pins  
France



Document has not been fetched through  
Document will be sent back to the sender

3A (ENGLISH TRANSLATION)

Florent Nicoulaud

**Chaux-Luedtke, Christine**

**From:** Chaux-Luedtke, Christine  
**Sent:** Donnerstag, 1. Juni 2006 11:52  
**To:** Chaux-Luedtke, Christine  
**Subject:** CONFIDENTIAL: Ref: 2058.101US1 / SAP AG : 2003P00960WOUS [R=6a19d56671285f21b0bf0b4bc3156738]

**Attachments:** 2058.101US1 Assignment.doc; 2058.101US1 PCT app as filed.pdf; 2058.101US1 Dec POA.doc; 2058.101US1 Prel Amend approved by ALM.doc



2058.101US1 2058.101US1 PCT 2058.101US1 Dec 2058.101US1 Prel  
Assignment.doc (42.. app as filed.p... POA.doc (61 KB... Amend approve...

Dear inventors:

Please sign these documents and send back to me @ fax (408) 993-0832. See below for original letter sent to you on May 12, 2006, but the email address we had for you got returned.

Please acknowledge receipt of these documents. We must file by June 18, 2006, so please respond ASAP.

Thank you kindly,

Dawn Shaw  
Paralegal

Dawn Shaw  
Paralegal  
Schwegman,  
Lundberg, Woessner & Kluth, P.A.  
150 Almaden Blvd., Suite 901  
San Jose, CA  
95113  
(408) 278-4044  
(408) 993-0832 (F)

english translation :  
Thank you to  
undersign the  
documents and to  
send them to the following  
address:

Merci de renvoyer  
les documents signés  
à :

SAP AG - SAP Patent  
Telefon: 062 27/7-644 12  
Fax-Nr.: 062 27/7-644 33  
Dietmar-Hopp-Allee 16  
D-69190 Walldorf  
(Allemagne)

>>From: Dawn Shaw  
>>To: Marcus Lauff; Florent  
>Nicoulaud; Samuel Rethore; Patrice  
>>Seurat; Pascal Spadone; Axel Priestestersbach;  
>Cedric Ulmer; Thomas Ziegert  
>>Cc:  
>>Andre Marais  
>>Sent: May 10, 2006 5:07:48 PM  
Subject: CONFIDENTIAL: Ref: 2058. >>101US1 / SAP AG : 2003P00960WOUS

Andre L. Marais 408-278-4042 - Direct Dial  
Dawn R. Shaw (408) 278-4044 - Direct Dial  
amarais@slwk.com dshaw@slwk.com

May 10, 2006

Markus Lauff SAP AG Dietmar-Hopp-Allee 16, Walldorf 69190, Germany  
Re: Docket # 2058.101US1 -  
2003P00960WOUS  
U.S. Patent Application: METHOD AND COMPUTER SYSTEM FOR DOCUMENT AUTHORIZING

Dear Inventors:

Enclosed is the Preliminary Amendment amending the Claim of Priority and the claims

Application as filed that we Propose to file as a National Phase 371 in the U.S. for the above-identified invention. All inventors should review the Preliminary Amendment and the application, and sign the enclosed formal papers so that we can file this application by the deadline of June 18, 2006. If there are any changes needed to the formal documents, you may put a line through, make the change and initial. Please let me know if you are unable to obtain the signatures by June 12th, and we will plan to file the application without them. If this is the case, we will need to send you new forms upon receipt of the Notice to File Missing Parts.

The inventors must carefully examine the application to ensure that it is technically accurate, that it describes the best mode of making and using the invention, and that it describe the invention in sufficient detail to enable someone skilled in the art to make and use the invention.

Please carefully review the names and ordering of the inventors as listed on the attached Combined Declaration and Power of Attorney for correctness. Only those inventors who contributed to claimed portions of the invention may be named. Please ensure that all inventors who contributed to claimed portions of the invention are named on this document.

If no further changes need to be made to the application or formal papers, the named inventor(s) must sign and date the Combined Declaration and Power of Attorney. Please return the signed Declaration to us @ (408) 993-0832.

Also enclosed is an Assignment which conveys all rights in the invention and application to SAP AG. The Assignment must be signed and dated by the inventors and also returned to us @ fax # (408) 993-0832.

We would like to remind you of your duty under 37 CFR 1.56 to disclose all information that may be material to the patentability of the claimed invention. Please inform us immediately of any information that you and the inventors are aware of that may be relevant to the invention, such as U.S. or foreign patents or printed publications, articles, theses, or abstracts. Please do not hesitate to discuss with us any questions you may have concerning the possible relevance of a prior art reference.

Please contact Andre L. Marais (408- 278-4042) or me ((408) 278-4044) if you have any questions concerning the application or the formal papers.

With kind regards,

Dawn R. Shaw  
Paralegal

Enclosures

cc: Cedric S.  
Ulmer (w/  
enc)  
Pascal T. Spadone (w/ enc)  
Christine Chaux-Luedtke (w/ enc)  
Axel  
Spriestersbach (w/ enc)  
Thomas  
Ziegert (w/ enc)

Florent Nicoulaud  
(w/  
enc)  
Samuel Rethore (w/enc)  
Patrice Seurat (w/ enc)  
>>